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# MISERS BLUFF ELECTROMAGNETIC PROPAGATION EXPERIMENTS

Final Analysis of the Laser Experiment Data

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1 October 1980

Topical Report for Period 26 February 1979-30 June 1980

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This report describes the lidar data obtained at 1.06 and 0.53 µm during the MISERS BLUFF II-2 (MBII-2) tests at the Planet Test Site near Lake Havasu City, Arizona. Experiments were also performed at 10.6 µm, but data from these experiments were lost because the recording system malfunctioned. The results from the first four minutes after the detonation are presented. In retrospect, the theoretical analysis, which was developed before the data was				
ready for final reduction, was of limited use be	cause of the unexpected			
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nature of the cloud echoes. The 2.5-MHz receiver bandwidth provided insufficient resolution for the signals that were returned from a region that extended at most only a few tens of meters into the cloud. For the most part, laser signals did not penetrate very deeply into the cloud. Average values for the volume backscattering coefficient have been calculated for the first two minutes after the detonation. They range from 2 to 9 · 10<sup>-2</sup> m<sup>-1</sup> sr<sup>-1</sup> at 0.53 μm and 3 to 15 · 10<sup>-3</sup> m<sup>-1</sup> sr<sup>-1</sup> at 1.06 μm. The corresponding reflectance values, if the cloud is treated as a rough-surfaced solid object, range from 9 to 42 percent and 1.4 to 7 percent, respectively, which are close to values observed from actual solid surfaces that scatter diffusely. The dependence on wavelength suggests that the scattering was caused predominantly by particles of submicron size. In general, the results show that lidar measurements provide little information about the formation of dust clouds as dense as that present at MBII-2. These measurements suggest that dust clouds produced by nuclear and large conventional explosions act as rough-surfaced solid objects in their effects on laser-based systems.

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#### I INTRODUCTION

The continuing development of the laser technology has resulted in a proliferation of laser-guided weapons in the visible and the infrared (IR) ranges of the spectrum. So far, the performance of these weapons in both the conventional and the nuclear dust environments is not well understood. To increase the knowledge in this area, SRI International performed measurements using a three-wavelengths (0.53, 1.06, and 10.6 µm) autotracking lidar at the two MISERS BLUFF II (MBII) High-Explosive Tests, to determine the volume backscatter and extinction coefficients of the explosion-produced dust cloud. To this end, two-way transmission and backscatter radiation measurements were attempted. Such measurements allow not only an evaluation of the system performance, but also an evaluation of the cloud formation and the distribution of particle size.

The three wavelengths used in the experiment (Figure 1) are representatives of those designators, range finders and lasers proposed or existing in military systems.

The laser experiment was one of four experiments fielded by SRI for MBII. The other three involved measurement of scattering and transmission effects in the HF, UHF, SHF, and EHF bands. These experiments and their preliminary results are described and discussed in earlier reports. 1,2\*

 $<sup>^\</sup>star$ All references are listed at the end of the report.

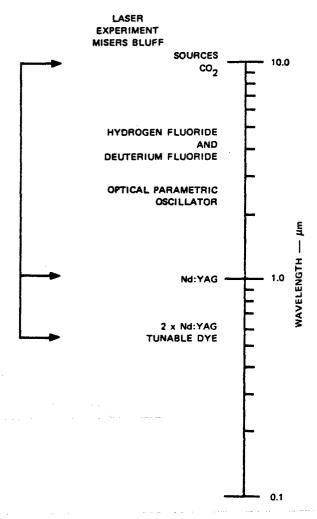


FIGURE 1 OPTICAL WAVELENGTHS RELEVANT TO PROJECT MISERS BLUFF

Both MISERS BLUFF II (MBII-1 and MBII-2) tests took place at the Planet Ranch test site on the dry bed of the Bill Williams River, Lake Havasu City, Arizona. The location of the radar/laser vans is shown in the lower left corner of the map in Figure 2. The first test, MBII-1, which was a 120-ton ammonium nitrate and fuel oil (ANFO) detonation,

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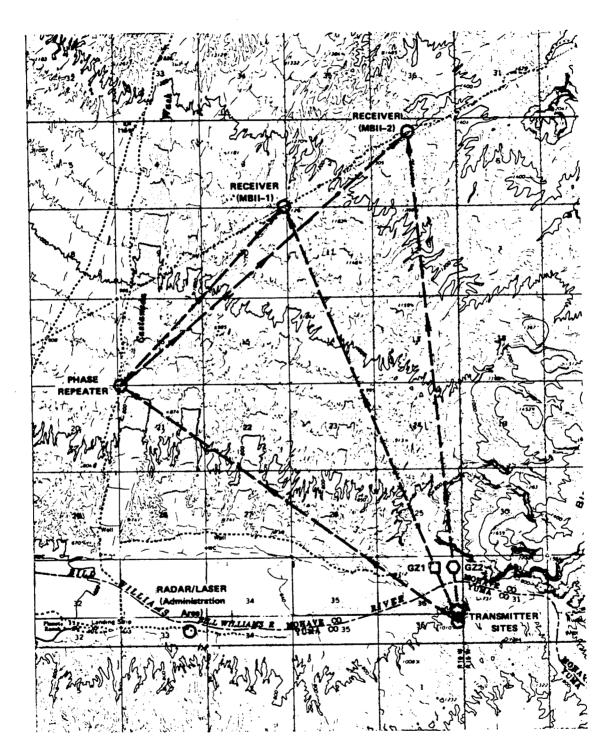


FIGURE 2 POSITIONS OF MISERS BLUFF LASER AND UHF-EHF PROPAGATION EXPERIMENT ELEMENTS

took place at 1300 MST on 28 June 1978. The second test, MBII-2, took place at 1100 MST on 30 August 1978, and consisted of the simultaneous detonation of six such 120-ton ANFO charges uniformly spaced on the periphery of a 100-m-radius circle. Although the primary objective of the MBII tests was the study of ground motion in a multiple-burst environment in support of the MX program, the tests provided a good opportunity to measure dust effects as well. Our experiments were added and were conducted on a noninterference basis along with other activities, which, because they were primarily shock and blast related, were confined to the immediate area around ground zero (GZ).

The experimental geometry was such that GZ of MBII-1 was located on a line between the lidar van and a retroreflector placed on a 365-m bluff. From the observation of the cloud movement caused by the wind during MBII-1, it was decided to retain this arrangement during MBII-2. Thus, because of the slightly different azimuths of the two GZs, the line between the lidar and the retroreflector was slightly offset to the northwest from the GZ of the second event. To extend the time of data collection beyond the time the cloud was between the lidar and the retroreflector, a helicopter was outfitted with a retroreflector and flown behind the cloud several minutes after the detonation.

Hawley and Burns<sup>3</sup> have already presented a description of the lidar system, the techniques used for measuring, and the general range data showing the range of the scattering centers as a function of time. This document is the final report on the analysis and interpretation of the MBII laser experiment data. Because of equipment difficulties and adverse wind conditions during MBII-1, the MBII-2 data were very much superior (although there were problems with the hardware then as well); therefore, to gain the most from the analysis effort, we concentrated entirely on the larger MBII-2 event. Because of the close proximities of the two GZs, the soil and cloud properties (other than the size of the cloud) of the two events are likely to be very similar to one another. Thus, the results of the MBII-2 analysis that are presented here should also apply equally well to the MBII-1 test.

This report is organized as follows: In Section II is an evaluation of system performance. Section III is a theoretical exposition of concepts that provide a background for the data analysis. Section IV contains the data. Section V contains the analysis of the data. Section VI is the conclusion and summary.

#### II EVALUATION OF THE SYSTEM PERFORMANCE

The data that are used in this and the following sections were recorded during MBII-2 as explained in the Introduction.

Before MBII-2, the lidar system was checked by firing each laser separately for a certain length of time. Based on these tests, both the lasers, the receivers, and the data-acquisition system appeared to function normally. However, during MBII-2 when the video data switch in Figure 3 (for more information about the instrumentation in this figure see Hawley and Burns3) was operating between the three receiver outputs synchronously with the firing of the lasers, the stored data show that part of the data was perturbed. Besides the receiver signals, which were stretched using a Gaussian filter and then sampled every 0.1  $\mu$ s, the data consist of several status words (SW), of which three contain information about the wavelength and the data from the laser energy monitors and the azimuth/elevation (Az/El) monitor shown in Figure 3. wavelength information is found in SW No. 3; SW No. 4 stores in alternating order the azimuth (Az) and elevation (El) of the telescope. SW No. 5 lists the energy of the transmitted laser pulse. Of these three words, only SW No. 3 appears reasonably reliable (about 80 percent). The data of the other words appear to have been perturbed. Although the data of SW No. 4 show some indication of alternating between two values, all attempts to recover the data by bit inversion or permutation of the bit positions have failed. The data in SW No. 4 show no change even though the receiver data show clearly that the telescope direction was changed (during the experiments with the moving retroreflector on the helicopter). This lack of correlation is sufficient reason to consider the Az/El data lost. We have reached a similar conclusion for the data contained in SW No. 5 because we were not able to get them to match with the expected laser output energies.

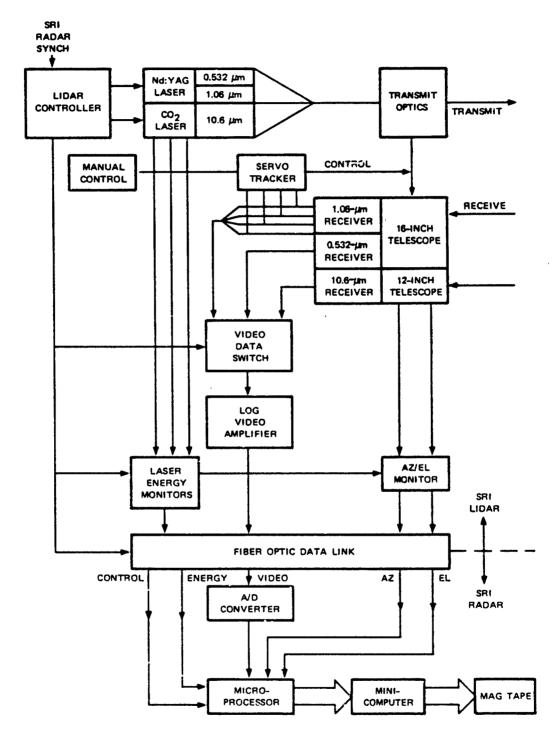


FIGURE 3 LASER EXPERIMENT SYSTEM BLOCK DIAGRAM

All 10.6- $\mu$ m data were lost as well. The data acquisition system was designed to record the 1.06- and 0.53- $\mu$ m receiver outputs on alternate 10-pps Nd:YAG laser shots, except every tenth pulse when the slower (1-pps) CO<sub>2</sub> laser fired. But when the recordings were carefully inspected, we found that the preceding 0.53- $\mu$ m data were repeated where the 10.6- $\mu$ m output should have been.

The source of the problems appears to be in the video data switch and associated circuitry. Examination of the wiring diagrams does not provide any clues to the exact cause of the problems. A full understanding of the failure requires an examination of the actual system, an exercise of little value at this point.

The lidar system was calibrated by recording the return signal from a white target located at a distance of approximately 1 km from the lidar van. Figures 4 and 5 show the relative return signal at 0.53 and 1.06  $\mu$ m, respectively. The return near the origin is caused by near scattering and is most pronounced at 0.53  $\mu$ m. Comparison of the near-scattering signal in Figure 4 with those obtained on the oscilloscope show that the time in Figures 4 and 5 should be increased by about 0.5  $\mu$ s placing the return from the target at 6.6  $\mu$ s, corresponding to a distance of 990 m.

The receiver response to the 10-ns laser pulse reflected by the calibration target is basically the much wider receiver impulse response. To remove the distortion present in Figures 4 and 5 because of the log scale, we have plotted the impulse responses on a linear scale in Figure 6. A half width of slightly less than 0.2  $\mu$ s for the 0.53- $\mu$ m receiver agrees reasonably well with the impulse response of a receiver band limited by a Gaussian filter with a 3-dB bandwidth of 2.5 MHz. The impulse response of the 1.06- $\mu$ m receiver is a little more difficult to evaluate because the sampling time is such that it truncates the receiver signal. It is obvious, however, that the 1.06- $\mu$ m signal is much wider than that at 0.53  $\mu$ m. We believe that the relatively slow fall time is caused by a long tail of the Nd:YAG laser pulse. Although this laser was also used to generate the pulse at 0.53  $\mu$ m by a second harmonic generation, the

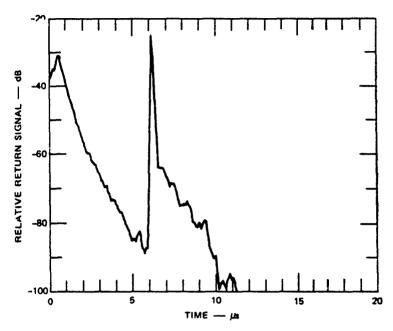


FIGURE 4 CALIBRATION DATA AT 0.53 µm

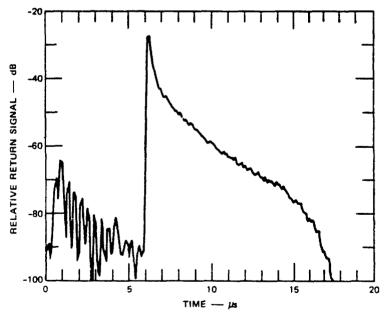
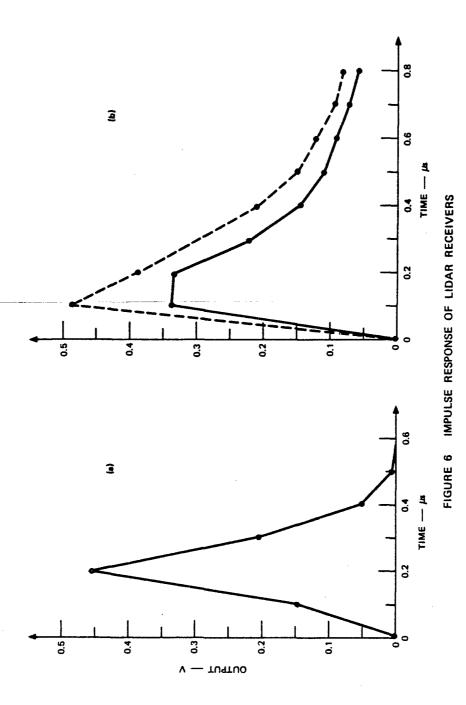


FIGURE 5 CALIBRATION DATA AT 1.06 µm



pulse at 0.53  $\mu m$  does not show any pronounced tail because the efficiency of the second harmonic generation is proportional to power. Based on the half width of the pulses in Figure 4, the resolution of the lidar system is about 30 m at 0.53  $\mu m$  and about 60 m at 1.06  $\mu m$ .

The rms fluctuation of the return signal, based on 80 returns, is in terms of percentage of the mean, 10.8 percent at 1.06  $\mu$ m and 17.6 percent at 0.53  $\mu$ m. These figures agree well with the expected fluctuation of the laser output power. Because of the loss of data from the laser output monitor, the figures present the basic limitation of the accuracy of the collected data.

### III THEORETICAL ANALYSIS

In the following we develop a simple theory for the backscattered radiation that allows calculation of the volume backscatter coefficient from the lidar data in the presence of attenuation of the laser signal by the dust cloud. It is based on the lidar equation given by:

$$P_{\mathbf{r}}(\mathbf{R}) = \frac{P_{\mathbf{t}}}{\Omega \mathbf{R}^2} \sigma(\mathbf{R}) \frac{A_{\mathbf{r}}}{4\pi \mathbf{R}^2} \exp \left[ -2 \int_0^{\mathbf{R}} \alpha(\mathbf{r}) d\mathbf{r} \right] T_0$$
 (1)

that expresses the power received,  $P_r(R)$ , by the lidar system from a scattering event at a distance, R, in terms of the following parameters:

P<sub>+</sub> = transmitted energy

 $\Omega$  = solid angle of transmitted laser beam

 $\sigma(R)$  = scattering cross section

A = receiver aperture

 $\alpha(r)$  = extinction coefficient

r = variable of integration

 $T_{\alpha}$  = transmittance of optical receiver.

In Eq. (1) it is assumed that:

- (a) Only a single scattering event takes place.
- (b) The energy is scattered uniformly over the entire  $4\pi$  solid angle.
- (c) The scattering area is larger than the cross-sectional area of the laser beam at the scattering medium.

The assumptions (a) and (b) simplify the theory greatly and are reasonable in view of the lack of any a priori information about the details of the scattering process. As more information is obtained more sophisticated models can be applied later.

To conform to the standard notation, we introduce the volume backscattering coefficient  $\beta(R)$  given by:

$$\beta(R) = \frac{\sigma(R)}{4\pi \left(\frac{c\tau}{2}\Omega R^2\right)}$$
 (2)

where c is the speed of light and  $\tau$  is the length of the laser pulse. The factor  $c\tau/2\Omega R^2$  is the scattering volume that contributes to the received lidar signal at a fixed time, and  $4\pi$  is the total solid angle. Thus, the dimension of  $\beta(R)$  is  $m^{-1}sr^{-1}$ . Inserting Eq. (2) in Eq. (1) we obtain:

$$P_{\mathbf{r}}(R) = P_{\mathbf{t}} \left(\frac{c\tau}{2}\right) \beta(R) \frac{A_{\mathbf{r}}}{R^2} \exp \left[-2 \int_{0}^{R} \alpha(\mathbf{r}) d\mathbf{r}\right] T_{\mathbf{o}}$$
 (3)

Before we find  $\beta(R)$  from Eq. (3), we normalize  $P_r(R)$  with respect to the received power,  $P_r(R_{cal})$ , measured during the calibration of the lidar system. The calibration consisted of measuring the signal returned from a white sheet of known reflectance placed a distance,  $R_{cal}$ , from the lidar van. The received power is given by:

$$P_{\mathbf{r}}(R_{cal}) = P_{\mathbf{r}} \frac{A_{\mathbf{r}}^{\rho}}{\pi R_{cal}^{2}} T_{\mathbf{a}}^{2}(R_{cal}) T_{\mathbf{o}}$$
 (4)

where  $\rho$  is the reflectance of the calibration target and  $T_a^2(R_{cal})$  is the two-way transmittance to the target given by:

$$T_a^2(R_{cal}) = \exp\left[-2\int_0^{R_{cal}} \alpha(r)dr\right] . \qquad (5)$$

The subscript, a, has been added to indicate that the transmittance is that of air over the specified range. Eq. (4) takes into account that the beam diameter at the target is smaller than the target and that the illuminated target area is within the field of view of the receiver. The expression also assumes that the reflection of the target is Lambertian.

By using Eq. (4), the normalized version of Eq. (3) takes the form,

$$P_{r}(R)/P_{r}\left(R_{cal}\right) = \frac{\beta(R)}{\beta_{o}}\left(\frac{R_{cal}}{R}\right)^{2} T^{2}\left(R,R_{cal}\right)$$
 (6)

where we have used the notation,

$$T^{2}(R,R_{cal}) = \exp \left[-2\int_{R_{cal}}^{R} \alpha(r) dr\right] \qquad (7)$$

The backscattering coefficient in Eq. (6) has been normalized with respect to the equivalent backscattering coefficient of the calibration target,  $\beta_0$ , given by

$$\beta_{o} = \frac{2\rho}{c \tau \pi} . \tag{8}$$

In addition to the unknown,  $\beta(R)$ , that we wish to find, Eq. (6) contains via Eq. (7), another unknown, the extinction coefficient,  $\alpha(r)$ . To circumvent this problem we shall use the customary assumption<sup>3</sup> that extinction is proportional to scattering as expressed by:

$$\alpha(R) = k\beta(R) \tag{9}$$

where k is a proportionality constant. Using this relationship in Eq. (6) we obtain by rearrangement of some of the terms the following integral equation for  $\beta(R)$ :

$$x(R) = \beta(R) \exp \left[-2k \int_{R}^{R} \beta(r) dr\right]$$
 (10)

where

$$x(R) = \beta_{o} \frac{P_{r}(R)}{P_{r}(R_{cal})} \left(\frac{R}{R_{cal}}\right)^{2} T_{a}^{-2} \left(R_{o}, R_{cal}\right) . \qquad (11)$$

In Eqs. (9) and (10) we have assumed that the scattering events start at  $R_0$ , the beginning of the dust cloud. The factor,  $\beta_0$ , is the equivalent backscattering coefficient of the calibration target. By differentiating both sides of Eq. (10) and dividing by  $x/\beta$ , Eq. (10) can, after rearrangement of the terms, be written

$$\frac{d\beta(R)}{dR} = \frac{dx(R)}{x(R)dR} \beta(R) + 2 k\beta^{2}(R) . \qquad (12)$$

This is the "Riccati" equation. The details of the solution of this equation are given in Appendix A. The solution is

$$\beta(R) = \frac{\frac{P_{r}(R)}{P_{r}(R_{o})} \left(\frac{R}{R_{o}}\right)^{2}}{1/\beta(R_{o}) - 2k \int_{R_{o}}^{R} \frac{P_{r}(r)}{P_{r}(R_{o})} \left(\frac{r}{R_{o}}\right)^{2} dr},$$
 (13)

which is similar to the expression given by  $Davis^{5}$ .

Although we eliminated  $\alpha(R)$  by using the relation in Eq. (9), the problem of finding the proportionality factor, k, still remains. To solve this problem we follow the approach of Fernald, et al.<sup>6</sup>, who showed that k can be obtained from transmittance measurements.

The general expression for the two-way transmittance is given by the exponential term in Eq. (1). If we used the relationship between the extinction coefficient and the backscattering coefficient in Eq. (9) the transmittance can be written as

$$T^{2}(R) = \exp \left[-2k \int_{0}^{R} \beta(r) dr\right] . \qquad (14)$$

By differentiating this equation with respect to R and inserting the result in Eq. (6) we obtain, after a few mathematical manipulations, which are described in Appendix B, the following expression for k:

$$k = \frac{1 - T_a^2(R_1, R_0) - \frac{P_r(R_2)}{P_{r,a}(R_2)}}{2\beta_0 T^2(R_{cal}) - \int_{R_0}^{R_1} \frac{P_r(r)}{P_r(R_{cal})} \left(\frac{r}{R_{cal}}\right)^2 dr}$$
(15)

where  $R_0 \le R \le R_1$  is the range of the cloud,  $R_2$  the distance to the retroreflector and  $P_{r,a}(R_2)$  the power received from the retroreflector when no cloud is present.

The remaining factor to be discussed in the expression for  $\beta(R)$  is  $\beta(R_0)$ , the value of  $\beta(R)$  at the front edge of the cloud. It is found by setting  $R = R_0$  in Eq. (6) and is given by

$$\beta_{o}(R_{o}) = \beta_{o} \frac{P_{r}(R_{o})}{P_{r}(R_{cal})} \left(\frac{R_{o}}{R_{cal}}\right)^{2} T_{a}^{-2} \left(R_{o}, R_{cal}\right) . \tag{16}$$

It assumes that the effect of the scattering on the transmittance of the first small increment of the cloud is negligible.

### IV PRESENTATION AND DISCUSSION OF THE DATA

In the following we show a sequence of figures covering the first four minutes of MBII-2. To help in the interpretation of the data we present Figure 7, which shows the approximate position of the laser beam projection in the GZ plane in relation to the detonation charges,

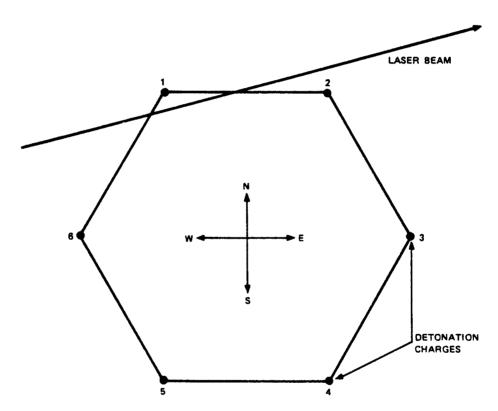


FIGURE 7 PROJECTION OF LASER BEAM ON THE GROUND-ZERO PLANE

and Figure 8, which is an overhead photograph of the GZ area slightly after detonation. The latter figure shows that during this early stage of the detonation six separate dust clouds are formed centered around the positions of each of the explosive charges.

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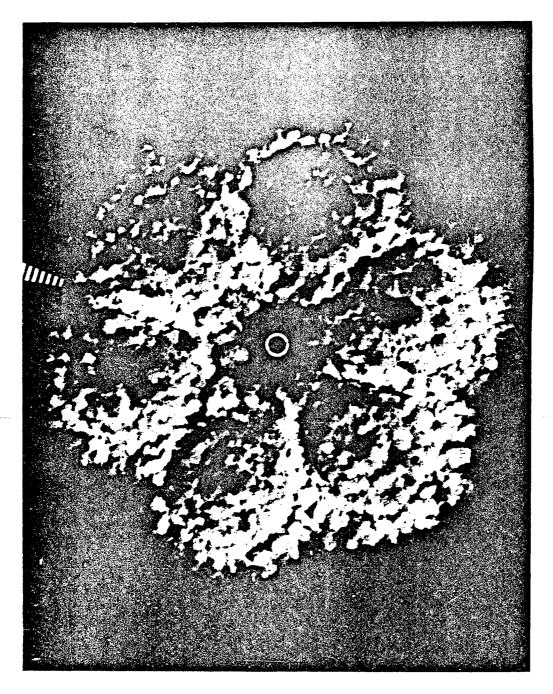


FIGURE 8 OVERHEAD PHOTOGRAPH OF GROUND ZERO AT AN EARLY STAGE OF THE DETONATION

The presence and development of these separate clouds are shown clearly in the lidar data (Figure 9). The data shown are for 0.53 µm. Figure 9(a) shows the first intercept of the laser beam by the two clouds that formed around the two detonation charges (No. 1 and 2) close to the laser beam projection as shown in Figure 7. The ranges are 4.83 and 4.98 km, respectively. The signal close to 6.0 km is the return from the retroreflector. In Figure 9(b) this signal is greatly attenuated; and it is completely obliterated in Figure 9(c) and the following figures; and it reappears three minutes later. Figures 9(b) to 9(e) show the growth of the front cloud and its effect on the return from the cloud behind it. At T + 14.047 s the clouds from the two detonation charges have merged completely and are so dense that the return is limited to a thin layer of dust at the front edge of the cloud associated with charge No. 1.

Further development is depicted by Figures 10(a) through 10(i), and 11(a) through 11(e), which show the return signal every 15 s. The sequence is broken during the period from T + 2 min to T + 2 min 36 s because of a transient computer malfunction that required restarting the data-acquisition program. The figures show that the returns are limited to a few thin layers in the range from 4.7 to 5.0 km. Any radiation propagating beyond that range either was absorbed totally or was scattered out of the receiver field of view until T + 3 min when the return from the retroreflector reappears. This occurred when the main part of the cloud drifted out of the line of sight. Examination of a tape from a TV monitor covering the field of view of the lidar telescope shows that during the time when the return from the retroreflector was observed a faint haze was present, suggesting the presence of fine particles.

During the time the data in Figures 9(a) to 11(c) were taken the dust cloud drifted slowly in a north-northwesterly direction, a direction essentially perpendicular to that of the laser beam (see Figure 7). Thus, the return signal in the range of 4.7 to 5.0 km appears to be from a thin layer of dust at the front edge of the moving dust cloud.

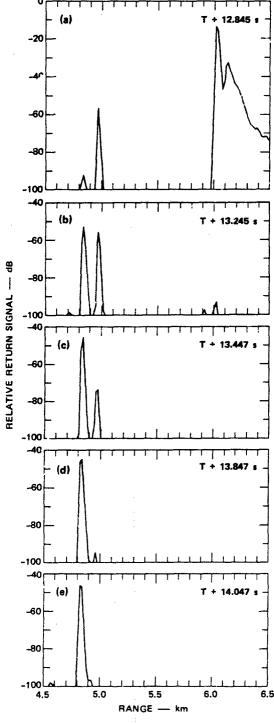


FIGURE 9 LIDAR RETURN AT 0.53 µm vs RANGE

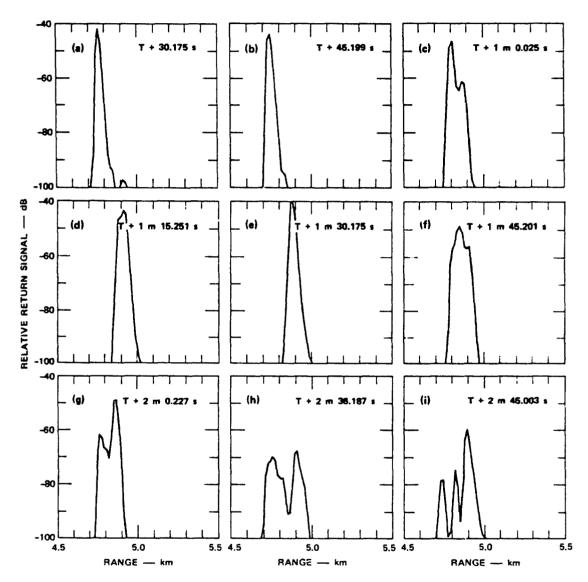


FIGURE .10 LIDAR RETURN AT 0.53  $\mu m$  vs RANGE

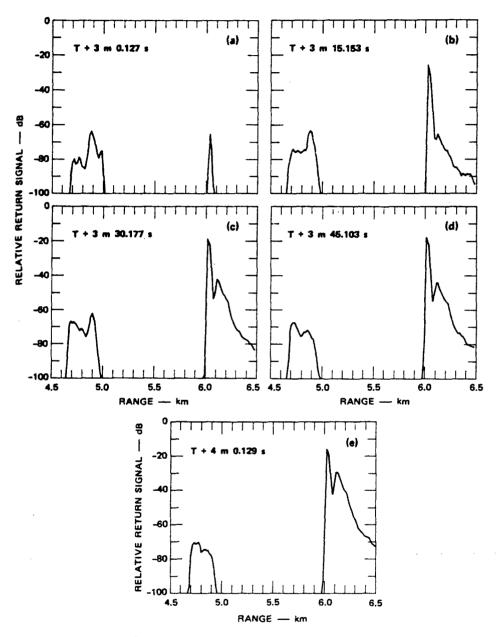
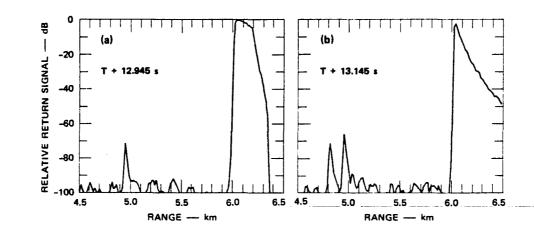


FIGURE 11 LIDAR RETURN AT 0.53 µm vs RANGE

Slightly after T + 4 min the telescope direction was changed to scan the entire cloud. Except for changes of the range, the return signals look similar to those already shown in Figures 9 to 11. Because of the loss of the Az/El data, the data from the scanning experiment do not provide any further information.

After T + 8 min the laser was aimed at a retroreflector dangling below a flying helicopter; however, because of tracking problems, this experiment was not successful. The data that were obtained indicate the presence of small dust pockets in the range from 5 to 15 km. These pockets were small because they moved in and out of the laser beam within 0.2 s when the helicopter was tracked at a distance of 13 km.

The data shown in Figures 9 to 11 are all for  $0.53~\mu m$ . Corroborating data were obtained at  $1.06~\mu m$ , but, because of the poorer resolution of the receiver and the smaller backscattering coefficient at that wavelength, the resolution of the data were not as good as those obtained at  $0.53~\mu m$ . Figures 12(a) to 12(c) show examples of the data at  $1.06~\mu m$ . They are from the earliest times of the cloud formation, and show the same two peaks, and the disappearance of one of the peaks as shown in Figure 9 for  $0.53~\mu m$ .



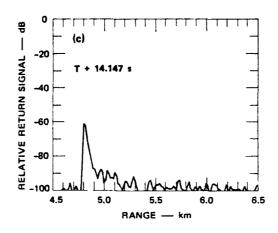


FIGURE 12 LIDAR RETURN AT 1.06  $\mu m$  vs RANGE

### V ANALYSIS OF THE DATA

The data collected during the first four minutes of MBII-2 at the wavelengths 0.53 and 1.06 µm were sufficiently complete to warrant further analysis. The direction for the telescope during that period was fixed and known. Furthermore, because the backscatter coefficient in Eqs. (6) and (13) are expressed in received power relative to that obtained during the calibration, the loss of the data from the laser energy monitor has only a minor effect expressed by the rms fluctuations of the laser output power as discussed in Section II.

The major limitation of the analysis resulted from the great width of the impulse response of the lidar system compared to the short pulse width of the return signal. In reviewing the data in Figures 9 to 11, note that the return signals at the first minute and a half (Figures 9(a) to 10(e)) are identical or very close to the impulse response of the receiver. The impulse response can be seen clearly from the return signal from the retroreflector at the range of 6 km in Figure 9 and Figure 11. In the comparison, remember that because of the logarithmic scale only the upper part of the peaks is important; the tails would not be noticeable in a linear display. As the dust cloud evolves the pulse spreads and often two or more peaks occur that tend to broaden out after T + 3 min.

The only data that seem usable for estimating  $\beta$  from Eq. (13) are the data after T + 3 min, at which time the width of the return pulse is much broader than the impulse response. However, even for those data, the calculations show that the impulse response contributes significantly to the integral in the denominator of the expression for  $\beta$  with the result that  $\beta(R)$  becomes a monotonic increasing function of R in the entire range of the cloud from 4.7 to 5.0 km.

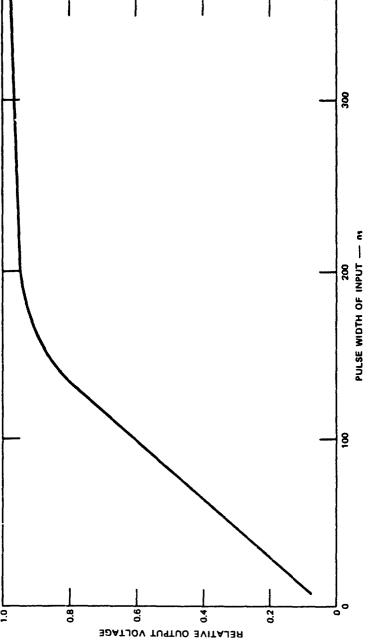
As mentioned before, the return signal during the first minute and one half is close to the impulse response of the receiver. This suggests

that the effective scattering region of the cloud is limited to a thin surface layer. If we assume that the layer is infinitely thin, an effective or equivalent volume backscattering coefficient,  $\beta$ , can be found from Eq. (6) by setting the two-way transmittance equal to one and letting the range, R, be the range corresponding to the peak of the return pulse. Because we have assumed an infinitely thin layer, it is perhaps more meaningful in this case to talk about a reflectance. Such a value is obtained easily by multiplying  $\beta$  by the factor  $c\pi\pi/2$  (= 4.71 m sr) in Eq. (8). In this case we are comparing the reflectance of the cloud directly with that of the calibration target.

The assumption of an infinitely thin layer is the same as assuming a thin layer with a constant  $\beta$  and a zero extinction coefficient because the range is large compared to the thickness of the layer. Such assumptions are hard to justify if we are interested in the actual value of  $\beta$ , but for the comparison of  $\beta$  at different wavelengths or times, the use of an average value of  $\beta$  seems reasonable.

Even with these assumptions an uncertainty in the calculated value of  $\beta$  remains because during times just after the detonation the return signals have a pulse shape identical or close to that of the receiver impulse response. Thus during that period of time, the actual pulse width of the reflected signal is unknown and could be as short as 10 ns, the width of the laser pulse. From Figure 13, which shows the relative output of the receiver as a function of input pulse width, we see that this introduces an uncertainty factor close to ten. In our calculations we have assumed a pulse width of 200 ns or larger, an assumption that seems reasonable for most of the time interval considered, but an assumption that may result in a value of  $\beta$  that is up to ten times too small immediately after the detonation.

To be able to calculate  $\beta$  from Eq. (6), we must know  $\beta_0$  given in Eq. (8). This implies that we know the reflectance,  $\rho$ , of the calibration target. To obtain this value, we compared the return signal from a small sample (about 4 cm x 4 cm) of the target with that from a similarly sized sample consisting of Eastman Kodak white reflectance



coating (No. 6080) on a glass slide. With the beam at normal incidence we were not able to distinguish between the two targets at 1.06  $\mu m$ . At 0.53  $\mu m$  the reflectance of the target material used during the calibration appeared to be a few percent lower than that of the Kodak coating. Because the Kodak coating has a known reflectance of better than 0.99 at both 0.53 and 1.06  $\mu m$ , we can set  $\rho = 1$ .

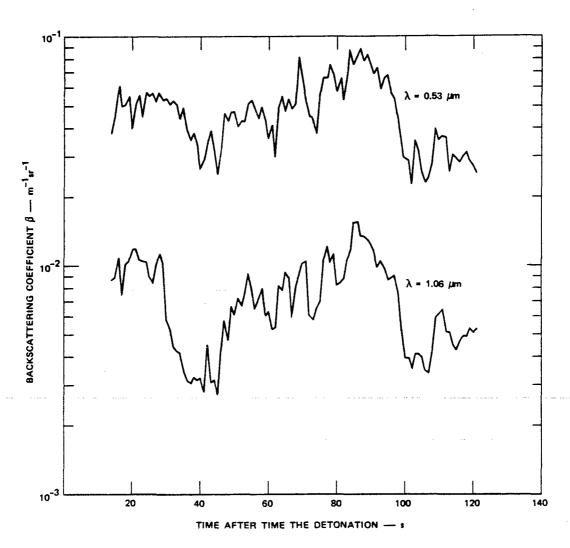


FIGURE 14 AVERAGE BACKSCATTERING COEFFICIENT AT 0.53 AND 1.06  $\mu$ m AS A FUNCTION OF TIME IMMEDIATELY AFTER THE DETONATION

The calculated backscattering coefficient  $\beta$  is shown in Figure 14 as a function of time after detonation. We have extended the calculation to T + 2 min and have used the tallest peak in cases where more than one peak occurs. The curve presents a value for  $\beta$  averaged over all the values calculated for each interval of l s. The slow variation of  $\beta$  with time represents the change of the density of the scattering particle of the front edge of the cloud as it drifts in a north-northwesterly direction that is almost perpendicular to the direction of the laser beam (see Figure 7). The broad maxima may reflect an increased density of the scatterers in the part of the cloud that was created in close vicinity of the explosive charge. Close to two minutes after the detonation the movement of the main cloud indicates that the portion of the cloud formed predominantly by charge No. 5 has passed the laser beam. After that time the value of  $\beta$  decreases and the penetration of the laser beam into the cloud increases slowly.

The value of  $\beta$  at 0.53  $\mu m$  is on the average about a factor of six larger than at 1.06  $\mu m$ . This ratio may be too large because, as Figure 6 shows, the pulse at 1.06  $\mu m$  is truncated by the sampling process. On the average this might increase the value of  $\beta$  at 1.06  $\mu m$  by a factor of about 1.5, which results in a value for  $\beta$  at 0.53  $\mu m$  of about four times that at 1.06  $\mu m$ . This rapid increase of  $\beta$  with  $\lambda^{-1}$  suggests that we are dealing with scattering particles of submicron size, a contention supported by the observation of the haze that produced the scattering during the period from T + 3 min to T + 4 min.

#### VI SUMMARY AND CONCLUSIONS

This report describes the evaluation and analysis of the data collected with the lidar system at the wavelengths 0.53, 1.06, and 10.6  $\mu m$  during MB II-2. A problem with a video switch and its associated circuitry prevented recording of the data at 10.6  $\mu m$  and destroyed the data that contained information about the laser output power and the position of the telescope. The loss of the two last items does not have any significant effect on the analysis presented. The major limitation of the analysis is caused by the unexpectedly small width of the lidar return signal that rendered the 2.5-MHz receiver bandwidth inadequate for fully resolving the signal. The data suggest that the laser beam at 1.06 and 0.53  $\mu m$  penetrated at most 20 to 100 m into the cloud. During much of the first two minutes after the detonation the penetration may have been significantly less than 20 m because the pulse shape of the return signal was almost identical to the impulse response of the lidar receiver.

To allow transmission measurements a retroreflector array was placed atop Black Mesa. During the first four minutes the laser beam was aimed at this reflector with the beam passing near GZ. The first return signal from the cloud was observed at T + 13 s. Half a second later the signal from the retroreflector disappeared and did not appear again until three minutes later when the main cloud had drifted away from the laser beam. At this time the return signal had become broader and was 20 dB smaller than at the beginning of the event. In many cases the signal consisted of two or three overlapping pulses.

During the entire four minutes that the lidar was aimed at the reflector, the range of the return signals was relative constant varying between 4.7 and 5.0 km. The constancy of the range is attributed to a drift of the cloud in a direction perpendicular to the direction of the laser beam. After T+4 min the cloud was scanned manually; the pulse shape of the signal remained approximately the same as that observed

when the cloud drifted past the laser beam showing that at 1.06 and 0.53  $\mu$ m the outer region of the cloud is about the same everywhere. Attempts after T + 8 min to continue measurements of the drifting cloud by using a helicopter that was outfitted with a retroreflector was not successful because of problems with the tracking system and the small penetration of the laser into the cloud.

Before the experimental data were processed, a theory was developed for calculating the volume backscattering coefficient,  $\beta$ . The theory is based on the radar-range equation and the assumption that extinction coefficient is proportional to  $\beta$ . Although the theory is presented in its entirety, most of it was actually not used in the analysis because the width of the return signal was of the same order of magnitude as that of the receiver impulse response.

The analysis is limited to data that were collected during the first two minutes after the detonation. An average value of  $\beta$  is calculated based on calibration data from a target of known reflectance. The range of the calculated values of  $\beta$  is 2 to 9 x  $10^{-2} \, \mathrm{m}^{-1} \, \mathrm{sr}^{-1}$  at 0.53  $\mu \mathrm{m}$  and 3 to 15 x  $10^{-3} \, \mathrm{m}^{-1} \, \mathrm{sr}^{-1}$  at 1.06  $\mu \mathrm{m}$ . The corresponding ranges of reflectance values are from 9 to 42 x  $10^{-2}$  and from 1.4 to 7.10 $^{-2}$ , respectively. These remarkably high values are comparable to those obtained from many rough solid surfaces. The wavelength dependence of  $\beta$  indicates that the scattering centers are of submicron size, a fact corroborated by observation of a haze after the main cloud had disappeared, but with the scattering centers still present.

The data show that lasers with the wavelength in the range from 1.06 to 0.53 µm would be completely inoperative in a dust environment such as that created by MBII-2, a result that is not too surprising. However, the small penetration of the laser beam into the cloud was unexpected. It is unfortunate that the 10.6-µm data were lost because we expect that any system using this wavelength would fare significantly better. Even after the main cloud had disappeared scattering particles were still present for a few minutes that could trouble most systems.

It is equally clear that these types of lidar measurements are not well-suited for investigation of cloud formation with particle densities as high as those developed during MBII-2. Extinction coefficients at 0.53 and 1.06  $\mu$ m were so high that it was not possible to make cross-section per unit volume estimates without making certain assumptions that may not be very realistic.

The lidar signals did not penetrate very deeply into the dust clouds until dust densities fell to very low levels. This is consistent with the appearance of the clouds, which seem "hard" at early times, but become a diffuse haze much later. Measured reflectance values at early times were indeed similar to those from many solid rough, diffusely-reflecting surfaces. Within our ability to resolve range, those echoes also could not be distinguished from ones coming off a solid surface. This means that it would be appropriate to treat many parts of a dust cloud produced by a nuclear or conventional explosion as a solid object in estimating their effects on laser-based military systems.

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# Appendix A

# SOLUTION OF THE RICCATI EQUATION

In Section III the equation [Eq. (12)] for finding  $\beta(R)$  was found to be the Riccati equation given by

$$\beta' = f(R)\beta + 2k\beta^2 \tag{A-1}$$

where the apostrophe denotes differentiation with respect to R, and where f(R) is given by

$$f(R) = \frac{dx(R)}{x(R)dR} \qquad . \tag{A-2}$$

In these equations R is limited to the range where  $x(R) \neq 0$ , i.e., the range of the scattering centers. Moving the term  $f(R)\beta$  to the left side of Eq. (A-1) and multiplying both sides of the equation by  $\exp[-g(R)]$  where

$$g(R) = \int_{R}^{R} f(r)dr \qquad (A-3)$$

we obtain

$$[\beta' - f(R)\beta] \exp [-g(R)] = 2k\beta^2 \exp [-g(R)]$$
 . (A-4)

Eq. (A-4) can be written as

$$\{\beta \exp [-g(R)]\}' = 2k\beta^2 \exp [-g(R)]$$
 (A-5)

or,

$$u' = 2ku^2 \exp [g(R)]$$
 (A-6)

where

$$u = \beta \exp \left[-g(R)\right] \qquad (A-7)$$

From Eq. (A-6) we have

$$\frac{u'}{u^2} = 2k \exp \left[g(R)\right] \tag{A-8}$$

that is identical to

$$-\left(\frac{1}{u}\right)' = 2k \exp \left[g(R)\right] . \tag{A-9}$$

Eq. (A-9) has the solution

$$-\frac{1}{u} = 2k \int_{R_0}^{R} \exp [g(r)] dr + c$$
 (A-10)

where c is a constant. From Eqs. (A-7) and (A-10) we obtain,

$$\beta = -\frac{\exp \left[g(R)\right]}{2k \int_{R}^{R} \exp \left[g(r)\right] dr + c}$$
 (A-11)

From Eqs. (A-2) and (A-3)

$$g(R) = \ln \frac{x(R)}{x(R_0)}$$
 (A-12)

so that

$$\beta(R) = -\frac{x(R)/x(R_0)}{2k \int_{R}^{R} x(R)/x(R_0) + c}$$
 (A-13)

The integration constant c is seen to be

$$c = 1/\beta(R_o) \tag{A-14}$$

by setting R = R in Eq. (A-13). Using the expression for x(R) in Eq. (11), the final expression for  $\beta(R)$  is,

$$\beta(R) = \frac{\frac{P_{r}(R)}{P_{r}(R_{o})} \left(\frac{R}{R_{o}}\right)^{2}}{1/\beta(R_{o}) - 2k \int_{R_{o}}^{R} \frac{P_{r}(r)}{P_{r}(R_{o})} \left(\frac{r}{R_{o}}\right)^{2} dr}$$
 (A-15)

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# Appendix B

#### DERIVATION OF EXPRESSION FOR k

Differentiating Eq. (14) with respect to R and solving it for  $\beta(R)$  yields

$$\beta(R) = -\frac{1}{2kT^{2}(R)} \frac{dT^{2}(R)}{dR}$$
 (B-1)

Using this expression in Eq. (6) we obtain

$$dT^{2}(R) = 2k\beta_{o} \frac{P_{r}(R)}{P_{r}(R_{cal})} \left(\frac{R}{R_{cal}}\right)^{2} T_{a}^{2} \left(R_{cal}\right) dR$$
 (B-2)

where we have used

$$T^{2}(R) = T_{a}^{2}(R_{cal})T^{2}(R,R_{cal})$$
 (B-3)

consistent with the notation used in Eqs. (5) and (7). If we assume that the scattering events are confined to the range  $R_0 \le R \le R_1$  we obtain the following expression for k by integration of Eq. (B-2),

$$k = \frac{1 - T^{2}(R_{1}, R_{0})}{2\beta_{0} T_{a}^{2}(R_{cal}) \int_{R_{0}}^{R_{1}} \frac{P_{r}(r)}{P_{r}(R_{cal})} \left(\frac{r}{R_{cal}}\right)^{2} dr}$$
(B-4)

If the transmittance is measured over the range  $0 \le R \le R_2$  where  $R_2 > R$ , we must use the following relationship in Eq. (4) to find k:

$$T^{2}(R_{2}) = T_{a}^{2}(R_{o})T^{2}(R_{1}, R_{o})T_{a}^{2}(R_{2}, R_{1})$$
 (B-5)

where  $T_a^2(R_0)$  and  $T_a^2(R_2,R_1)$  account for the air transmittance in the part of the range  $0 \le R \le R_2$  that is free of scattering centers. If the air transmittance over the whole range  $R_2$  is also measured, then

$$T^{2}(R_{1},R_{o}) = T_{a}^{2}(R_{1},R_{o}) \left(\frac{T(R_{2})}{T_{a}(R_{2})}\right)^{2}$$
(B-6)

or in terms of received power

$$T^{2}(R_{1},R_{o}) = T_{a}^{2}(R_{1},R_{o}) \frac{P_{r}(R_{2})}{P_{r,a}(R_{2})}$$
 (B-7)

where  $P_{r,a}(R_2)$  is the power received when no scatters are present in the range  $0 \le R \le R_2$ .

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